CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United State Postal Service as first class mail in an envelope addressed to: Assistant Commiss for Patents, Arlington, VA 22202, on the date appearing below.

ELI LILLY AND COMPANY

Lindan. Dunbin

Date May 24 2002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Laurence Brader) Confirm. No.:

Serial No. : 09/980,962) Group Art Unit:

Filed : June 15, 2000) 1614

For : PROTAMINE-FREE INSOLUBLE) ACYLATED INSULIN COMPOSITIONS)

Docket No. : X-12785

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Arlington, VA 22202

Sir:

As a means of complying with the duty of disclosure, Applicant submits an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) and provides a copy of each of the listed documents for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicant submits that no additional fee is required.

- (1) The pending claims for U.S. Application No. 09/491,253, filed January 26, 2000, entitled "Monodisperse Hexameric Acylated Insulin Analog Formulation." Application No. 09/491,253 is listed on the Form PTO-1449 as document CS.
- (2) The pending claims for U.S. Application No. 09/958,536, which is the U.S. National Phase Of International Application No. PCT/US00/08723, filed April 13, 2000, entitled

"Insulin Crystals For Pulmonary Administration." The international application published as WO 00/64940, which is listed on the Form PTO-149 as document BR.

(3) The pending claims for U.S. Application No. 10/018,377, which is the U.S. National Phase Of International Application No. PCT/US00/15026, filed June 12, 2000, entitled "Insulin Crystals For Pulmonary Administration." The international application published as WO 00/00674, which is listed on the Form PTO-149 as document BS.

Applicants request consideration of this information. Applicants also request that the Examiner indicate his or her consideration of this information by initialing and signing the Form PTO-1449, and returning a copy of same to the undersigned.

Respectfully submitted,

Attorney)for Applicant Registration No. 41,264

Phone: 317-276-1664

Eli Lilly and Company Patent Division P.O. Box 6288

Indianapolis, Indiana 46206-6288

Serial No 09/980,962 FORM PTO 1449 (modified) Atty. Docket No. X-12785 INFORMATION DISCLOSURE CITATION Applicant(s) Applicant(s)
Mark Laurence Brader IN AN APPLICATION MAY 2 9 2002 Filing Date

PATENT DOCUMENTS

June 15, 2000

0							
Examiner Initial		Document · Number	Date	Name	Class	Sub- class	Filing Tore If Appropriate
	AA	3,864,325	Feb. 4, 1975	Smyth	260	112.7	Nov. 13, 1972
	AB	3,907,763	Sep. 23, 1975	Brandenberg, et al.	260	112.7	Feb. 16, 1973
	AC	3,950,517	Apr. 13, 1976	Lindsay, et al.	424	178	Dec. 6, 1974
	AD	4,701,440	Oct. 20, 1987	Grau	514	3	Jun _. 06, 1986
	AE	5,506,203	Apr. 09, 1996	Backstrom, et al.	514	4	Jun. 23, 1994
	AF	5,658,878	Aug. 19, 1997	Backstrom, et al.	514	3	Jun. 6, 1995
	AG	5,693,609	Dec. 02, 1997	Baker, et al.	514	3	Nov. 17, 1994
	AH	5,700,904	Dec. 23, 1997	Baker, et al.	530	305	Jun. 7, 1995
	AI	5,898,028	Apr. 27, 1999	Jensen, et al.	514	4	Mar. 20, 1998
	AJ	5,922,675	Jul. 13, 1999	Baker, et al.	514	4	Nov. 26, 1997
	AK	6,051,551	Apr. 18, 2000	Hughes, et al.	514	3	Oct. 29, 1998
	AL	6,268,335	Jul. 31, 2001	Brader	514	3	Oct. 22, 1998
	AM	6,310,038	Oct. 30, 2001	Havelund	514	4	Mar. 20, 1998
	AN	6,335,316	Jan. 1, 2002	Hughes, et al.	514	3	Mar. 10, 2000
	AO	2001/0039260	Nov. 8, 2001	Havelund	514	4	Apr. 17, 2001
	AP	2001/0041786	Nov. 15, 2001	Brader, et al.	530	300	Jun. 7, 1995
	AQ	2,799,622	Jul. 16, 1957	Schlichtkrull, et al.	167	75	Nov. 12, 1954
	AR	2,819,999	Jan. 14, 1958	Schlichtkrull, et al.	167	75	Nov. 12, 1954
	AS	5,028,587	Jul. 2, 1991	Dorschug, et al.	514	3	May 20, 1988

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheek 2 Critical Control of the Cont FORM PTO 1449 (modified) Serial No 7 Atty. Docket No. OTPE X-12785 INFORMATION DISCLOSURE CITATION Applicant(s) IN AN APPLICATION Mark Laurence Brader MAY 2 9 2002 Fulling Date Group Jane 15, 2000 1614 FOREIGN PATENT DOCUMENTS DADENAR Document Number Class Sub-Country Translation class yes no BA EP 0646379 04May95 EΡ BB EP 0911035 28Apr99 EΡ BC EP 0919242 02Jun99 EP BD EP 0951910 270ct99 EΡ BE WO 95/07931 23Mar95 WIPO BF WO 95/24183 14Sep95 WIPO BG WO 96/32149 170ct96 WIPO BH WO 98/31346 23Jul98 WIPO BI WO 98/33480 06Aug98 WIPO BJ WO 98/42367 010ct98 WIPO WO 98/42368 010ct98 WIPO $_{
m BL}$ WO 98/42749 010ct98 WIPO BM WO 99/21573 06May99 WIPO BN WO 99/21578 06May99 WIPO BO WO 99/22754 14May99 WIPO ВP WO 99/32116 01Jul99 WIPO BO WO 00/43034 27Jul00 WIPO WO 00/64940 02Nov00 WIPO BS WO 01/00674 04Jan01 WIPO ВТ WO 01/00675 04Jan01 WIPO EXAMINER DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

•					Sheet 3 of 4		
					D		
FORM PTO	1449 (mod	ified) OIPE	Atty. Docket No. X-12785	Serial No 09/980,962	空 黑		
INFORMATION DISCLOSURE CITATION CO		Applicant(s)		CH 3			
IN AN API	PLICATION	MAY 2 9 2002	Mark Laurence Brade Filing Date	Group			
		MAY 2 9 2002	June 15, 2000	1614	7/6		
		DAUENTON OF	THER DOCUMENTS		00/20		
	CA		nics of Insulin", Novo g, Berlin, Germany 1987		ute, pp. 1		
	СВ		tton, J. S., "Drug Deli Medicine, 7(1):49-75, M				
	CC .	Insulin Therapy", in	The Clinical Use of Ins	heory and Pract.	ice, pp. 519-		
	CD	Galloway, J., "Insul About Old and New In	ation Publishing Co., I lin Treatment for the E nsulins and Their Usage	arly 80s: Facts ", Diabetes Care	and Questions		
	CE	Galloway, J.A., et a	ces Assoc., Inc., 1980. al., "Improving Insulin Metab. Res. 26:591-598,	Therapy: Achie			
	CF	Stuttgart, New York, Edwards, et al.,	, 1994. "Recent advances in p	ulmonary drug	delivery using		
	- 77	large, porous inhaled particles", J. Appl. Physiol. 84(2):379-385, the American Physiological Society, 1998. Edwards, D. A., et al., "Large Porous Particles for Pulmonary Drug					
	CG		a1., "Large Porous Part 276:1868-1871, America				
	СН		ving the Efficacy of In ", J. Biopharm. Sci, 3(1992.				
	CI		nsulin/proinsulin, a new crystalline complex", Macmillan Publishers, Ltd., 1974				
	CJ		y of Biotherapeutics by Drug Carrier Systems,				
	CK	Ottesen, et al., "The potential immunogenicity of human insulin and insulin analogues evaluated in a transgenic mouse model", Diabetologia 37:1178-1185, Springer-Verlag, 1994.					
CL		Patton, J., Bukar, J., and Najarajan, S., "Inhaled insulin", Adv. Drug Delivery Rev., 35:235-247, Elsevier Science, 1999.					
		Patton, J. S. and Platz, R. M., "Aerosol Insulin- A Brief Review", Respiratory Drug Delivery IV, pages 65-74, Interpharm Press, 1994.					
	CN	Radziuk, J., et al., "Basal activity profiles of NPH and [Ne-palmitoyl Lys (B29)] human insulins in subjects with IDDM", Diabetologia 41:116-120, Springer-Verlag, 1998.					
	CO	Richards, Jane P., 6 Suspension Formulati Properties", Journal	et al., "Preparation of ion of LysB28ProB29-Hum l of Pharmaceutical Sci ociety and American Pha	an Insulin with <i>ences</i> , 88(9):861	Ultralente 1-867,		
	CP	Steiner, D.F., "Cocrystallization of Proinsulin and Insulin", Nature 243:528-530, 1973.					
	CQ		al., "Sustained Release Drug Development Resea				
	CR	Whittingham, J. L.,	et al., "Crystal Struc n-binding Properties",				
EXAMINER		2001, American chemi	DATE CONSIDERED				

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

y		MAY 2 9	2002 JC100 JC143		Sheet 4 of	.
INFORMAT	ION D	(modified) %	Applicant(s)	Serial No 09/980,962	TECH CENTER 1600/2900	CE
IN AN AP			Mark Laurence Brader Filing Date June 15, 2000	Group 1614	ER 1800	图
	CS	U.S. Patent Applica	ation Serial No. 09/491,	253.	000	
	CT	Pending claims for	U.S. Application 09/491	,253 (11 pages).		
	CU	Pending claims for	U.S. Application 10/018	,377 (4 pages).		
	cv	Pending claims for	U.S. Application 09/958	,536 (6 pages).		
			•			
EXAMINER			DATE CONSIDERED			

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.